

TRANSMITTAL LETTER					Case No. 10329/3
Int'l Pat. App. No.	U.S. Serial No.	Int'l Filing Date	U.S. Filing Date	Examiner	Group Art Unit
PCT/AU99/00079	09/486,648	February 5, 1999	February 24, 2000	Unassigned	Unassigned
Inventor Dennis Andrew Benjamin et al.					
Title of Invention SYSTEM FOR HANDLING ELECTRONIC MAIL					



## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement with copies of five (5) cited references; Form 1449, and post card.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

- A statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a \_\_\_\_\_ month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

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Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Other Than Small Entity	
Total		Minus		Rate	Add'l Fee	Rate	Add'l Fee
Indep.		Minus		x \$9=		x \$18=	
First Presentation of Multiple Dep. Claim							
				x \$42=		x \$84=	
				+\$140=		+\$280=	
				Total add'l fee	\$	Total add'l fee	\$

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 John C. Freeman  
 Registration No. 34,483  
 Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: The Commissioner for Patents, Washington, D.C. 20231, on March 1, 2002.

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Commissioner for Patents, Washington,  
D.C. 20231 on March 1, 2002.

Name of Registered Representative:

John C. Freeman

John C. Freeman Reg. No. 34,483

March 1, 2002

Date of Signature

# 7

PATENT  
CASE NO. 10329/3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: )  
Dennis Andrew Benjamin et al. )  
International Patent Application )  
No.: PCT/AU99/00079 )  
International Filing )  
Date: February 5, 1999 ) Group Art Unit: unassigned  
U.S. Serial No.: 09/486,648 ) Examiner: unassigned  
Filed: February 24, 2000 )  
For: SYSTEM FOR HANDLING )  
ELECTRONIC MAIL )

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following references for consideration by the Examiner. Copies of the references are enclosed along with a completed copy of Form PTO-1449.

## I. DISCLOSURE

### A. U.S. Patents

<u>Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
5,678,045	Bettels	10/14/97

### B. Foreign Patents

<u>Reference No.</u>	<u>Country</u>	<u>Pub. Date</u>
08-097853	Japan	04/12/96
10-083362	Japan	03/31/98

### C. Literature

1. Derwent Abstract Accession No. 96-245101/25, published by Derwent Abstracts, Class T01, regarding Japanese published application 08-097853, published April 12, 1996.
2. Derwent Abstract Accession No. 98-256828, published by Derwent Abstracts, Class W01, regarding Japanese published application 10-083362, published March 31, 1998.

## II. DISCUSSION

### A. U.S. Patent No. 5,678,045

The '045 patent was cited as an X-type reference in a Search Report for a corresponding Patent Cooperation Treaty patent application. An X-type reference is of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when taken alone.

### B. Japanese Reference No. 08-097853

Based solely on the drawings and the corresponding Derwent English language Abstract, the '853 reference is pertinent because it appears to disclose a method of transmitting email without requiring addresses of partners to be specifically identified.

The '853 reference and the corresponding Derwent English language Abstract were cited as X-type references in a Search Report for a corresponding Patent Cooperation Treaty patent application.

**C. Japanese Reference No. 10-083362**

Based solely on the drawings and the corresponding Derwent English language Abstract, the '362 reference is pertinent because it appears to disclose filling in the name of a person included in the back text field into an address field. The name of the person is converted into an email address.

The '362 reference and the corresponding Derwent English language Abstract were cited as X-type and P-type references in a Search Report for a corresponding Patent Cooperation Treaty patent application. A P-type reference is published prior to the international filing date but later than the priority date claimed.

**III. CONCLUSION**

It is believed that none of these references, alone or in combination, disclose or suggest the invention claimed. However, Applicants wish to make it clear that the disclosure of the above references is in no way an admission that they qualify as prior art. It is Applicants' desire,

however, to have these references available in the record for both the Examiner and the public to see. Applicants therefore request that the Examiner review the entire disclosure of each reference and make the above-listed references of record.

Respectfully submitted,



John C. Freeman  
Registration No. 34,483  
Attorney for Applicants

BRINKS HOFER  
GILSON & LIONE  
P.O. Box 10395  
Chicago, Illinois 60610  
(312) 321-4200

Dated: March 1, 2002